PTO/SB/05 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

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| HTH ITV | | ney Docket No. PZ025P1C1D1 | | | | | | | | |
|--|------------------------|---|--|--|--|--|--|--|--|--|
| UTILITY | First I | nventor Henrik S. Olsen | | | | | | | | |
| PATENT APPLICATION TRANSMITTAL | Title | 36 Human Secreted Proteins | | | | | | | | |
| (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | ss Mail I ahal No | | | | | | | | |
| | Express Mail Label No. | | | | | | | | | |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application of | contents. | MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | | | | | | |
| 1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. 3. X Specification [Total Pages 2] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. Drawing(s) (35 U.S.C. 113) [Total Sheets] 5. Oath or Declaration [Total Sheets] 5. Oath or Declaration [Total Sheets] a. Newly executed (original or copy) b. X Copy from a prior application (37 CFR 1.63(d)) (tor continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting | 1 4 | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. X Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. X Paper c. X Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS 9. Assignment Papers (cover sheet & document(s)) 10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 13. Preliminary Amendment 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is daimed) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. X Other: Copy of Statement Under 37 C.F.R. § 3.73 and Power of Attorney or Authorization of Agent from Application No. 09/716,129 | | | | | | | | |
| inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. X Application Data Sheet. See 37 CFR 1.76 [5 Pages | Totall | | | | | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation X Divisional Continuation-in-part (CIP) of prior application No.: 09/716,129 Prior application information: Examiner LOTI A. Clow Art Unit: 1631 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. | | | | | | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | | | | | | |
| X Customer Number or Bar Code Label | | or Correspondence address below 22195 | | | | | | | | |
| Name | | | | | | | | | | |
| Address | | | | | | | | | | |
| City | State | Zip Code | | | | | | | | |
| Country | Telephone | Fax | | | | | | | | |
| Name (Print/Type) Mark J. Hyman Registration No. (Attorney/Agent) 46,789 | | | | | | | | | | |
| Signature W \ \(\int \) | | Date August 4, 2003 | | | | | | | | |
| 1 | | $\boldsymbol{\iota}$ | | | | | | | | |

| PTO/SB/17 (05-03 Approved for use through 04/30/2003. OMB 0651-003 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC _Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control numbe | | | | | | | | | | | |
|--|---------------------------|---|--|-------------|--|---------------------------------|--------------------------|----------|--|--|--|
| | Complete if Known | | | | | | | | | | |
| FEE TRANSMITTAL | Application Number | | | | er _ | Not Yet Assigned | | | | | |
| for FY 2003 | Filing Date | | | | Concurrently Herewith | | | | | | |
| | First Named Inventor | | | tor | Henrik S. Olsen | | | | | | |
| Effective 01/01/2003, Patent fees are subject to annual revision. | | Examiner Name | | | | Not Yet Assigned | | | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | Artina | | | 0 | N/A | | | | | | |
| | - | Arconit | | | | | CIDI | | | | |
| | | Attorney Docket No. PZ025P1C1D1 | | | | | | | | | |
| METHOD OF PAYMENT (check all that apply) | | FEE CALCULATION (continued) | | | | | | | | | |
| Check Credit Money Other None X Deposit Account | 3. A | 3. ADDITIONAL FEES | | | | | | | | | |
| X Deposit Account Deposit | Large Entity Small Entity | | | | | | | | | | |
| Account 08-3425 | Fee | Fee Fee Fee Fee Fee Fee | | | | | ription | Fac Borr | | | |
| Number | Code | (\$) | Code | (\$) | | | | Fee Paid | | | |
| Deposit Account Human Genome Sciences, Inc. | 1051 | 130 | 2051 | 65 | - | - late filing fe | | | | | |
| Name The Director is hereby authorized to: (check all that apply) | 1052 | 50 | 2052 | 25 | Surcharge - sheet. | - late provisio | inal filing fee or cover | | | | |
| X Charge fee(s) indicated below X Credit any overpayments | 1053 | 130 | 1053 | 130 | - | Non-English specification | | | | | |
| Charge any additional foc(s) during the condensar of this | 1 | Ì | | | _ | • | | | | | |
| application | 1812 | 2,520 | 1812 | | | equest for exp publication o | arte reexamination | | | | |
| Charge fee(s) indicated below, except for the filing fee | 1804 | 920* | 1804 | 920* | Examiner a | ction | | | | | |
| to the above-identified deposit account. | 1805 | 1,840* | 1805 | 1,840* | Requesting Examiner a | publication o | | | | | |
| FEE CALCULATION | 1251 | 110 | 2251 | 55 | Extension for | or reply within | first month | | | | |
| 1. BASIC FILING FEE | 1252 | 410 | 2252 | 205 | Extension for | or reply within | second month | | | | |
| Large Entity Small Entity | 1253 | 930 | 2253 | 465 | Extension for | or reply within | third month | | | | |
| Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$) | 1254 | 1,450 | 2254 | 725 | Extension for | or reply within | fourth month | | | | |
| 1001 750 2001 375 Utility filing fee 750.00 | 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | | | | | | |
| 1002 330 2002 165 Design filing fee | 1401 | 320 | 2401 | 160 | Notice of Appeal | | | | | | |
| 1003 520 2003 260 Plant filing fee | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | | | | | | |
| 1004 750 2004 375 Reissue filing fee | 1403 | 280 | 2403 | 140 | Request for oral hearing | | | | | | |
| 1005 160 2005 80 Provisional filing fee | 1451 1452 | 1,510 110 | 1451 2452 | 1,510 55 | Petition to institute a public use proceeding Petition to revive – unavoidable | | | | | | |
| SUBTOTAL (1) (\$) 750.00 | 1453 | 1,300 | 2452 | 650 | <u> </u> | | | \vdash | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1501 | 1,300 | 2501 | 650 | | fee (or reissu | | | | | |
| Extra Fee from | 1502 | 470 | 2502 | 235 | Design issu | • | | | | | |
| Claims Delow Fee Paid Total Claims 24 -20** = 4 x 18.00 = 72.00 | 1503 | 630 | 2503 | 315 | Plant issue | | | | | | |
| Independent 4 -3** = 1 x 84.00 - 84.00 | 1460 | 130 | 1460 | 130 | | the Commiss | | | | | |
| Claims | 1807 | 50 | 1807 | 50 | | fee under 37 | | | | | |
| | | 180 | 1806 | 180 | - | of Information | | | | | |
| Large Entity Small Entity Fee | 1806 | | | 40 | | | ssignment per | | | | |
| Code (\$) Code (\$) | 8021 | 40 | 8021 | | property (tir | mes number o | of properties) | | | | |
| 1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 | 1809 | 750 | 2809 | 375 | (37 CFR 1. | | final rejection | | | | |
| 1203 280 2203 140 Multiple dependent claim, if not paid | 1810 | 750 | 0 2810 375 For each additional invention to be examined (37CFR 1.129(b)) | | | | | | | | |
| 1204 84 2204 42 ** Reissue independent claims | 1801 | 750 | 2801 | 375 | | | xamination (RCE) | | | | |
| over original patent | 1802 | 802 900 1802 900 Request | | | Request for | for expedited examination | | | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | | of a design application Other fee (specify) | | | | | | | | | |
| | l | | • • | iling Fee | Paid | SUBTO | TAL (3) (\$) | 0.00 | | | |
| SUBTOTAL (2) (\$) 156.00 Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) **or number previously paid, if greater, For Reissues, see above | | | | | | | | 0.00 | | | |
| SUBMITTED BY Complete (if applicable) | | | | | | | | | | | |
| Name (Print/Type) Mark J. Hyman | | ration No | | ,789 | | i | (240) 314-1224 | | | | |
| | (Attorn | ey/Agent) | 170 | ,,,,,,,,, | | t | <u>`</u> | | | | |
| Signature \ \lambda \ \lam | | | | | | Date | Aunst 4 20 | 203 | | | |

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